

Lectures on Standard Model Effective Field Theory

Yi Liao

Nankai Univ

Outline

- 1 Lecture 5: SMEFT – Dimension-five and -seven Operators
- 2 Dim-5 operator
- 3 Dim-7 operators
- 4 Hilbert series: a powerful tool for counting operators

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Difference between dim-6 and dim-5/7 operators

Caution at the very start:

Effective interactions of dim-5 and dim-7 may arise from UV physics different from those of dim-6, because of different symmetry:

- dim-6 operators include B - and L -conserving ones plus B - and L -violating but $B-L$ conserving ones;
- dim-5 operator violates L but not B (without involving quarks);
- all dim-7 operators violate L ; some conserve B ($L = \pm 2$, $B = 0$), and others violate both B and L ($L = -B = \pm 1$).

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- 1 Lecture 5: SMEFT – Dimension-five and -seven Operators
- 2 Dim-5 operator**
- 3 Dim-7 operators
- 4 Hilbert series: a powerful tool for counting operators

Dim-5 operator

- Without counting flavors there is a unique dim-5 operator:

$$\mathcal{L}_5 = \frac{1}{4} \kappa_{gf} \overline{(L_m^g)^C} \varepsilon^{mn} H_n L_j^f \varepsilon^{ji} H_i + \text{h.c.} \quad \begin{array}{l} i, j, m, n: SU(2)_L \text{ indices} \\ f, g: \text{flavor indices} \end{array} \quad (1)$$

$$\psi^C = C \bar{\psi}^T \leftrightarrow \overline{\psi^C} = \psi^T C \text{ charge conjugation,}$$

$$C^T = C^\dagger = -C, \quad C^2 = -1; \quad \kappa: \text{ complex and symmetric}$$

- In unitary gauge, $H^T \rightarrow (0, v+h)/\sqrt{2}$,

$$\mathcal{L}_5 = \frac{1}{4} \frac{1}{2} (v+h)^2 \kappa_{gf} \overline{(v g)^C} v^f + \text{h.c.} \Rightarrow m_\nu = -\frac{1}{4} v^2 \kappa \quad \begin{array}{l} \text{complex} \\ \text{symmetric} \end{array} \quad (2)$$

Difficult to detect $h\nu$ interactions. Not much to do with \mathcal{L}_5 beyond m_ν .

- E. Ma (1998): Three ways to realize \mathcal{L}_5 at tree level, corresponding exactly to three standard seesaw mechanisms.
- \mathcal{L}_5 is induced at a high seesaw scale M , where some heavy particles are integrated out. RG running should be included for low energy phys.

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RG running of \mathcal{L}_5

Literature:

- P.H. Chankowski and Z. Pluciennik, Renormalization group equations for seesaw neutrino masses, Phys. Lett. B 316 (1993) 312 [hep-ph/9306333]
- K.S. Babu, C.N. Leung and J.T. Pantaleone, Renormalization of the neutrino mass operator, Phys. Lett. B 319 (1993) 191 [hep-ph/9309223]
- S. Antusch, M. Drees, J. Kersten, M. Lindner and M. Ratz, Neutrino mass operator renormalization revisited, Phys. Lett. B 519 (2001) 238 [hep-ph/0108005]
- S. Antusch, M. Drees, J. Kersten, M. Lindner and M. Ratz, Neutrino mass operator renormalization in two Higgs doublet models and the MSSM, Phys. Lett. B 525 (2002) 130 [hep-ph/0110366]

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RG running of \mathcal{L}_5 : Sketch of calculation

κ : symmetric matrix, not necessarily multiplicatively renormalizable.
We proceed a bit differently from what we did in Lecture 3.

- Consider \mathcal{L}_5 as given in bare quantities $\kappa_{\text{bare}}, L_{\text{bare}} = Z_L^{1/2} L, H_{\text{bare}} = Z_H^{1/2} H$:

$$\mathcal{L}_5 = \frac{1}{4} \varepsilon^{mn} \varepsilon^{ji} Z_H \overline{(L_m)^C} (Z_L^{1/2})^T \kappa_{\text{bare}} Z_L^{1/2} L_j H_n H_i + \text{h.c.} \quad (3)$$

$$= \frac{1}{4} \mu^{2\varepsilon} \varepsilon^{mn} \varepsilon^{ji} \overline{(L_m)^C} (\kappa + \delta\kappa) L_j H_n H_i + \text{h.c.}, \quad (4)$$

where $Z_L^{1/2}$ is a matrix in flavor space and $\delta\kappa$ is the **c.t.** defined by

$$Z_H (Z_L^{1/2})^T \kappa_{\text{bare}} Z_L^{1/2} = (\kappa + \delta\kappa) \mu^{2\varepsilon} \quad (5)$$

- Compute one-loop diagrams contributing to $LLHH$ with one insertion of \mathcal{L}_5 and any number of SM couplings.

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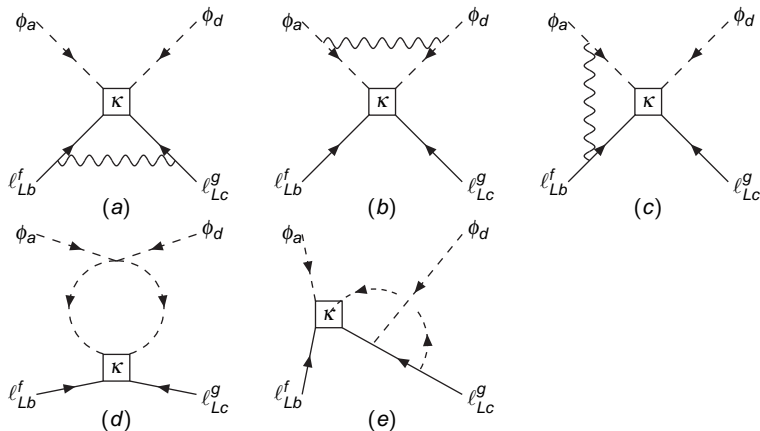
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- Determine **c.t.** by requiring it to cancel UV divergences in the above diagrams. Z_H, Z_L are known in SM.
- Denote for simplicity $Z_L^{1/2} = A$.

$$\begin{aligned} \frac{d\kappa_{\text{bare}}}{d\mu} = 0 &\rightarrow \mu \frac{d}{d\mu} \text{eq.(5)} : \\ \mu \frac{dZ_H}{d\mu} A^T \kappa_{\text{bare}} A + Z_H \mu \frac{dA^T}{d\mu} \kappa_{\text{bare}} A + Z_H A^T \kappa_{\text{bare}} \mu \frac{dA}{d\mu} \\ &= \left[\mu \frac{d\kappa}{d\mu} + \mu \frac{d\delta\kappa}{d\mu} + 2\varepsilon(\kappa + \delta\kappa) \right] \mu^{2\varepsilon} \end{aligned} \quad (6)$$

$$\begin{aligned} \Rightarrow \mu \frac{d \ln Z_H}{d\mu} (\kappa + \delta\kappa) + \mu \frac{dA^T}{d\mu} (A^{-1})^T (\kappa + \delta\kappa) A + (\kappa + \delta\kappa) A^{-1} \mu \frac{dA}{d\mu} \\ = \mu \frac{d\kappa}{d\mu} + \mu \frac{d\delta\kappa}{d\mu} + 2\varepsilon(\kappa + \delta\kappa) \end{aligned} \quad (7)$$

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RG running of \mathcal{L}_5 : Sketch of calculation

- Denote renormalization of SM couplings collectively by

$$g_0 = Z_g g \mu^{n_g \varepsilon}, \quad n_g = \begin{cases} 2 & \text{for } g = \lambda \\ 1 & \text{for gauge/Yukawa couplings} \end{cases} \quad (8)$$

$$\mu \frac{dg_0}{d\mu} = 0 \Rightarrow \beta_g = -n_g \varepsilon g - \mu \frac{d \ln Z_g}{d\mu} g, \quad \begin{array}{l} \text{highest power } \varepsilon^1, \text{ lowest order in } g \\ \text{highest power } \varepsilon^0, \text{ higher order in } g \end{array} \quad (9)$$

- Consider eq.(7). The highest power in ε on lhs is 0, thus

$$\beta_\kappa = \mu \frac{d\kappa}{d\mu} = -2\varepsilon \kappa + O(\varepsilon^0) \quad (10)$$

This is as expected. It also has the following implications.

- Denote $\delta\kappa = \kappa_{pr}(cg)_{pr}$ with $g = \lambda, (g_{1,2,3}, Y_f)^2$.

$$\mu \frac{d\delta\kappa}{d\mu} = \mu \frac{d\kappa_{pr}}{d\mu} (cg)_{pr} + \kappa_{pr} \mu \frac{d(cg)_{pr}}{d\mu} = [-2\varepsilon \kappa_{pr} + O(\varepsilon^0)] (cg)_{pr} + \kappa_{pr} \mu \frac{d(cg)_{pr}}{d\mu} \quad (11)$$

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- β functions have a well-defined limit as $\varepsilon \rightarrow 0$.
I'll not show cancellation of the pole terms in ε .
- Writing $A \approx 1 + \frac{1}{2}\delta Z_L$ and $Z_H = 1 + \delta Z_H$, the result at one loop is

$$\begin{aligned} & \left[\mu \frac{d \ln Z_H}{d\mu} \kappa + \mu \frac{d A^T}{d\mu} \kappa + \kappa \mu \frac{d A}{d\mu} \right]_{\varepsilon^0} = \lim_{\varepsilon \rightarrow 0} \beta_\kappa + \sum_g \left[-n_g \varepsilon g \frac{d\delta\kappa}{dg} \right]_{\varepsilon^0} \\ \Rightarrow \lim_{\varepsilon \rightarrow 0} \beta_\kappa &= \sum_g \left\{ (-n_g \varepsilon g) \left[\frac{d\delta Z_H}{dg} \kappa - \frac{d\delta\kappa}{dg} + \frac{1}{2} \left(\frac{d\delta Z_L^T}{dg} \kappa + \kappa \frac{d\delta Z_L}{dg} \right) \right] \right\}_{\varepsilon^0} \end{aligned} \quad (13)$$

- The final result is

$$\begin{aligned} (4\pi)^2 \beta_\kappa &= -\frac{3}{2} \left[\kappa (Y_e Y_e^\dagger) + (Y_e Y_e^\dagger)^T \kappa \right] + 2\lambda \kappa - 3g_2^2 \kappa \\ &+ 2\text{tr} \left(3Y_u^\dagger Y_u + 3Y_d^\dagger Y_d + Y_e^\dagger Y_e \right) \kappa \end{aligned} \quad (14)$$

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Basis of dim-7 operators

■ Literature:

L. Lehman, Extending SMEFT with complete set of dim-7 operators, PRD 90 (2014) 125023

Y. Liao and X.-D. Ma, RGE of dim-7 baryon- and lepton-number-violating operators, JHEP 11 (2016) 043

■ It is important to use a basis of operators that is complete and independent –

- complete: consistency of perturbation theory, requirement of renormalizability in EFT
- independent: correct connection with S matrix
- Both are required by making correct phenomenological conclusion

■ Choices of bases are not unique but there are not many either. It depends usually on convenience.

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■ It is important to use a basis of operators that is complete and independent –

- complete: consistency of perturbation theory, requirement of renormalizability in EFT
- independent: correct connection with S matrix
- Both are required by making correct phenomenological conclusion

■ Choices of bases are not unique but there are not many either. It depends usually on convenience.

Basis of dim-7 operators

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Basis of dim-7 operators

- It is a hard job to find a correct basis:

Completeness relatively easier, but redundancy difficult to remove.

By redundant operators in \mathcal{L}_{EFT} we mean those that can be removed by any of the following methods:

algebraic relations: for reps of Lorentz and gauge groups, including Fierz identities

integration by parts: total derivative yields zero in perturbation theory

equations of motion: equivalent to field redefinition without changing S matrix

- Most difficult is to make judicious use of algebraic identities.

Nontrivial Fierz identities can be built upon

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Algebraic relations

■ Relations for fundamental reps of gauge group:

$$SU(2) \quad (T^I)_{jk}(T^I)_{mn} = \frac{1}{2}\delta_{jn}\delta_{mk} - \frac{1}{4}\delta_{jk}\delta_{mn}, \quad T^I = \frac{1}{2}\sigma^I \text{ (Pauli)} \quad (15)$$

$$\text{Schouten identities} \begin{cases} \varepsilon_{ij}\varepsilon_{mn} = \varepsilon_{im}\varepsilon_{jn} - \varepsilon_{jm}\varepsilon_{in}, \\ \varepsilon_{ij}\delta_{mn} = \varepsilon_{im}\delta_{jn} - \varepsilon_{jm}\delta_{in}, \end{cases} \quad (16)$$

$$SU(3) \quad (T^A)_{ab}(T^A)_{cd} = \frac{1}{2}\delta_{ad}\delta_{cb} - \frac{1}{6}\delta_{ab}\delta_{cd}, \quad T^A = \frac{1}{2}\lambda^A \text{ (Gell-Mann)} \quad (17)$$

■ Identities for fermion fields

Notation for charge conjugation of chiral fields:

$$\Psi_{L,R}^C \equiv (\Psi_{L,R})^C \Rightarrow \overline{\Psi_{L,R}^C} = (\Psi_{L,R})^T C, \quad \Psi_{L,R} = (\Psi_{L,R}^C)^C \quad (18)$$

All following identities are equally valid with $L \leftrightarrow R$.

Identities for fermion field bilinears involving charge conjugation:

$$\overline{\Psi_{1L}}\gamma^{\mu_1}\gamma^{\mu_2} \dots \gamma^{\mu_{n-1}}\gamma^{\mu_n}\Psi_{2R} = \pm \overline{\Psi_{2R}^C}\gamma^{\mu_n}\gamma^{\mu_{n-1}} \dots \gamma^{\mu_2}\gamma^{\mu_1}\Psi_{1L}^C \text{ for } n \begin{cases} \text{even} \\ \text{odd} \end{cases} \quad (19)$$

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Algebraic relations

$$(\overline{\Psi}_{1L}\gamma^\mu\gamma^\nu\Psi_{2R})(\overline{\Psi}_{3L}\gamma_\mu\gamma_\nu\Psi_{4R}) = 8[(\overline{\Psi}_{1L}\Psi_{2R})(\overline{\Psi}_{3L}\Psi_{4R}) + (\overline{\Psi}_{1L}\Psi_{4R})(\overline{\Psi}_{3L}\Psi_{2R})], \quad (20)$$

$$(\overline{\Psi}_{1L}\gamma^\mu\gamma^\nu\Psi_{2R})(\overline{\Psi}_{3L}\gamma_\nu\gamma_\mu\Psi_{4R}) = -8(\overline{\Psi}_{1L}\Psi_{4R})(\overline{\Psi}_{3L}\Psi_{2R}) \quad (21)$$

$$(\overline{\Psi}_{1L}\gamma^\mu\gamma^\nu\Psi_{2R})(\overline{\Psi}_{3R}\gamma_\mu\gamma_\nu\Psi_{4L}) = 4(\overline{\Psi}_{1L}\Psi_{2R})(\overline{\Psi}_{3R}\Psi_{4L}) \quad (22)$$

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$$(\overline{\Psi}_{1L}\gamma^\mu\Psi_{2L})(\overline{\Psi}_{3L}\gamma_\mu\Psi_{4L}) = (\overline{\Psi}_{1L}\gamma^\mu\Psi_{4L})(\overline{\Psi}_{3L}\gamma_\mu\Psi_{2L}), \quad (24)$$

$$(\overline{\Psi}_{1L}\gamma^\mu\Psi_{2L})(\overline{\Psi}_{3L}\gamma_\mu\Psi_{4L}) = 2(\overline{\Psi}_{1L}\Psi_{3L}^C)(\overline{\Psi}_{4L}^C\Psi_{2L}), \quad (25)$$

$$(\overline{\Psi}_{1L}\gamma^\mu\Psi_{2L})(\overline{\Psi}_{3R}\gamma_\mu\Psi_{4R}) = -2(\overline{\Psi}_{1L}\Psi_{4R})(\overline{\Psi}_{3R}\Psi_{2L}) \quad (26)$$

$$(\overline{\Psi}_{1L}\gamma^\mu\Psi_{2L})(\overline{\Psi}_{3R}^C\Psi_{4R}) = (\overline{\Psi}_{1L}\Psi_{3R})(\overline{\Psi}_{2L}^C\gamma_\mu\Psi_{4R}) + (\overline{\Psi}_{1L}\Psi_{4R})(\overline{\Psi}_{2L}^C\gamma_\mu\Psi_{3R}) \quad (27)$$

$$(\overline{\Psi}_{1L}\Psi_{2R})(\overline{\Psi}_{3L}^C\gamma^\mu\Psi_{4R}) = (\overline{\Psi}_{1L}\gamma_\mu\Psi_{3L})(\overline{\Psi}_{4R}^C\Psi_{2R}) - (\overline{\Psi}_{1L}\Psi_{4R})(\overline{\Psi}_{3L}^C\gamma_\mu\Psi_{2R}) \quad (28)$$

$$(\overline{\Psi}_{1R}\gamma^\mu\Psi_{2R})(\overline{\Psi}_{3R}^C\Psi_{4R}) = -(\overline{\Psi}_{1R}\gamma_\mu\Psi_{3R})(\overline{\Psi}_{2R}^C\Psi_{4R}) - (\overline{\Psi}_{1R}\gamma_\mu\Psi_{4R})(\overline{\Psi}_{2R}^C\Psi_{3R}) \quad (29)$$

$$(\overline{\Psi}_{1L}\Psi_{2R})(\overline{\Psi}_{3R}^C\Psi_{4R}) = -(\overline{\Psi}_{1L}\Psi_{3R})(\overline{\Psi}_{4R}^C\Psi_{2R}) - (\overline{\Psi}_{1L}\Psi_{4R})(\overline{\Psi}_{3R}^C\Psi_{2R}) \quad (30)$$

EoMs

Gauge fields:

$$\begin{aligned}
 D^\nu G_{\mu\nu}^A &= g_3 \sum_{\Psi=Q,u,d} \bar{\Psi} T^A \gamma_\mu \Psi, \\
 D^\nu W_{\mu\nu}^I &= g_2 \sum_{\Psi=Q,L} \bar{\Psi} T^I \gamma_\mu \Psi + ig_2 H^\dagger T^I \overleftrightarrow{D}_\mu H, \\
 D^\nu B_{\mu\nu} &= g_1 \sum_{\Psi=q,u,d,L,e} \bar{\Psi} Y_\Psi \gamma_\mu \Psi + ig_1 Y_H H^\dagger \overleftrightarrow{D}_\mu H.
 \end{aligned} \tag{31}$$

Matter and Higgs fields:

$$\begin{aligned}
 D^2 H &= \mu^2 H - \lambda (H^\dagger H) H - \varepsilon^T \bar{Q} Y_u u - \bar{d} Y_d^\dagger Q - \bar{e} Y_e^\dagger L, \\
 i\not{D}Q &= Y_u u \tilde{H} + Y_d d H, \\
 i\not{D}L &= Y_e e H, \\
 i\not{D}u &= Y_u^\dagger \tilde{H}^\dagger Q, \\
 i\not{D}d &= Y_d^\dagger H^\dagger Q, \\
 i\not{D}e &= Y_e^\dagger H^\dagger L.
 \end{aligned} \tag{32}$$

Most useful for reducing dim-7 operators are, in explicit indices,

$$i\gamma^\mu D_\mu L_t^i = (Y_e)_{tu} e_u H^i, \tag{33}$$



Summary of dim-7 operators

$\psi^2 H^4$		$\psi^2 H^3 D$	
\mathcal{O}_{LH}	$\varepsilon_{ij}\varepsilon_{mn}(L^i C L^m) H^j H^n (H^\dagger H)$	\mathcal{O}_{LeHD}	$\varepsilon_{ij}\varepsilon_{mn}(L^i C \gamma_\mu e) H^j H^m i D^\mu H^n$
$\psi^2 H^2 D^2$		$\psi^2 H^2 X$	
\mathcal{O}_{LHD1}	$\varepsilon_{ij}\varepsilon_{mn}(L^i C D^\mu L^j) H^m (D_\mu H^n)$	\mathcal{O}_{LHB}	$\varepsilon_{ij}\varepsilon_{mn}(L^i C i \sigma_{\mu\nu} L^m) H^j H^n B^{\mu\nu}$
\mathcal{O}_{LHD2}	$\varepsilon_{im}\varepsilon_{jn}(L^i C D^\mu L^j) H^m (D_\mu H^n)$	\mathcal{O}_{LHW}	$\varepsilon_{ij}(\tau^I \varepsilon)_{mn}(L^i C i \sigma_{\mu\nu} L^m) H^j H^n W^{I\mu\nu}$
$\psi^4 D$		$\psi^4 H$	
$\mathcal{O}_{\bar{d}uLLD}$	$\varepsilon_{ij}(d \gamma_\mu u)(L^i C i D^\mu L^j)$	$\mathcal{O}_{\bar{e}LLLH}$	$\varepsilon_{ij}\varepsilon_{mn}(\bar{e} L^i)(L^j C L^m) H^n$
$\mathcal{O}_{\bar{L}Qdd}$	$(\bar{L} \gamma_\mu Q)(d C i D^\mu d)$	$\mathcal{O}_{\bar{d}LQLH1}$	$\varepsilon_{ij}\varepsilon_{mn}(\bar{d} L^i)(Q^j C L^m) H^n$
$\mathcal{O}_{\bar{e}ddd}$	$(\bar{e} \gamma_\mu d)(d C i D^\mu d)$	$\mathcal{O}_{\bar{d}LQLH2}$	$\varepsilon_{im}\varepsilon_{jn}(\bar{d} L^i)(Q^j C L^m) H^n$
		$\mathcal{O}_{\bar{d}LueH}$	$\varepsilon_{ij}(\bar{d} L^i)(u C e) H^j$
		$\mathcal{O}_{\bar{Q}uLLH}$	$\varepsilon_{ij}(\bar{Q} u)(L C L^i) H^j$
		$\mathcal{O}_{\bar{L}dud\tilde{H}}$	$(\bar{L} d)(u C d) \tilde{H}$
		$\mathcal{O}_{\bar{L}dddH}$	$(\bar{L} d)(d C d) H$
		$\mathcal{O}_{\bar{e}Qdd\tilde{H}}$	$\varepsilon_{ij}(\bar{e} Q^i)(d C d) \tilde{H}^j$
		$\mathcal{O}_{\bar{L}dQQ\tilde{H}}$	$\varepsilon_{ij}(\bar{L} d)(Q C Q^i) \tilde{H}^j$
redundant operators			
$\mathcal{O}_{\bar{d}uLLD}^{(2)}$	$\varepsilon_{ij}(\bar{d} \gamma_\mu u)(L^i C \sigma^{\mu\nu} D_\nu L^j)$	$\mathcal{O}_{\bar{L}dQdD}$	$(\bar{L} i D^\mu d)(Q C \gamma_\mu d)$

Summary of dim-7 operators

Comments:

- Not count flavors and Hermitian conjugates:
12 (\leftarrow 13) B -conserving + 6 (\leftarrow 7) B -violating operators;
but $\varepsilon_{ij}(\bar{e}Q^i)(dCd)\tilde{H}^j$ and $(\bar{L}d)(dCd)H$ vanish for one generation.
- Last two operators are redundant.
- \mathcal{L}_7 : Operators to be multiplied by Wilson coeff. containing flavor indices.

Features:

- All dim-7 operators involve leptons and violate lepton number.
- Most involve H except for three. Rare processes challenging to detect.
- Unique dim-7 operator \mathcal{O}_{LH} for neutrino mass. Actually unique to all dimensions: $\mathcal{O}_5(H^\dagger H)^n$ Liao (2010).

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Demonstration of operator reduction by easy examples

Example 1

A seemingly independent operator is

$$\begin{aligned} & \varepsilon_{ij}(L^i C \gamma_\mu \mathbf{e})(\bar{d} \gamma^\mu u) H^j = \varepsilon_{ij}(\overline{L^i C} \gamma_\mu \mathbf{e})(\bar{d} \gamma^\mu u) H^j \\ (25) \quad & \stackrel{=}{=} 2\varepsilon_{ij}(\bar{d} L_i)(\overline{u C} \mathbf{e}) = 2\varepsilon_{ij}(\bar{d} L^i)(u C \mathbf{e}) H^j = 2\mathcal{O}_{\bar{d}LueH}. \end{aligned} \quad (35)$$

Example 2

Redundancy of $\mathcal{O}_{duLLD}^{(2)prst}$:

$$\begin{aligned} \mathcal{O}_{duLLD}^{(2)prst} &= \varepsilon_{ij}(\bar{d}_\rho \gamma_\mu u_r)(L_s^i C \sigma^{\mu\nu} D_\nu L_t^j) \quad \sigma^{\mu\nu} = i\gamma^\mu \gamma^\nu - i\gamma^\nu \gamma^\mu \\ &= \varepsilon_{ij}(\bar{d}_\rho \gamma_\mu u_r)(L_s^i C \gamma^\mu \gamma^\nu iD_\nu L_t^j) - \varepsilon_{ij}(\bar{d}_\rho \gamma_\mu u_r)(L_s^i C iD^\mu L_t^j) \\ (33) \quad & \stackrel{=}{=} (Y_e)_{tu} \varepsilon_{ij}(\bar{d}_\rho \gamma_\mu u_r)(L_s^i C \gamma^\mu \mathbf{e}_u) H^j - \mathcal{O}_{duLLD}^{prst} \\ (35) \quad & \stackrel{=}{=} 2(Y_e)_{tu} \mathcal{O}_{\bar{d}LueH}^{psru} - \mathcal{O}_{duLLD}^{prst} \end{aligned} \quad (36)$$

RGEs for 6 operators with $B = -L = 1$

They are closed under 1-loop renormalization since other 12 operators have $B = 0, L = -1$.

$$\mathcal{L}_7 = \sum_i C_i \mathcal{O}_i + \text{h.c.}, \quad (37)$$

where we use shortcuts for 6 operators and Wilson coefficients:

$$\begin{aligned}
 C_1 &\equiv C_{Ldud\bar{H}}^{prst} & \mathcal{O}_1 &\equiv \mathcal{O}_{Ldud\bar{H}}^{prst} = \varepsilon_{\alpha\beta\sigma} \varepsilon_{ij} (\bar{L}_{ip} d_{\alpha r}) (u_{\beta s} C d_{\sigma t}) H_j^*, \\
 C_2 &\equiv C_{LdddH}^{prst} & \mathcal{O}_2 &\equiv \mathcal{O}_{LdddH}^{prst} = \varepsilon_{\alpha\beta\sigma} \delta_{ij} (\bar{L}_{ip} d_{\alpha r}) (d_{\beta s} C d_{\sigma t}) H_j, \\
 C_3 &\equiv C_{\bar{e}Qdd\bar{H}}^{prst} & \mathcal{O}_3 &\equiv \mathcal{O}_{\bar{e}Qdd\bar{H}}^{prst} = -\varepsilon_{\alpha\beta\sigma} \delta_{ij} (\bar{e}_p Q_{i\alpha r}) (d_{\beta s} C d_{\sigma t}) H_j^*, \\
 C_4 &\equiv C_{LdQQ\bar{H}}^{prst} & \mathcal{O}_4 &\equiv \mathcal{O}_{LdQQ\bar{H}}^{prst} = -\varepsilon_{\alpha\beta\sigma} \delta_{kl} \delta_{ij} (\bar{L}_{kp} d_{\alpha r}) (Q_{l\beta s} C Q_{i\sigma t}) H_j^*, \\
 C_5 &\equiv C_{LQddD}^{prst} & \mathcal{O}_5 &\equiv \mathcal{O}_{LQddD}^{prst} = \varepsilon_{\alpha\beta\sigma} \delta_{ij} (\bar{L}_{ip} \gamma_\mu Q_{j\alpha r}) (d_{\beta s} C i D_{\sigma p}^\mu d_{pt}), \\
 C_6 &\equiv C_{\bar{e}dddD}^{prst} & \mathcal{O}_6 &\equiv \mathcal{O}_{\bar{e}dddD}^{prst} = \varepsilon_{\alpha\beta\sigma} (\bar{e}_p \gamma_\mu d_{\alpha r}) (d_{\beta s} C i D_{\sigma p}^\mu d_{pt}).
 \end{aligned} \quad (38)$$

We study one-loop RGE of 6 B -violating operators:

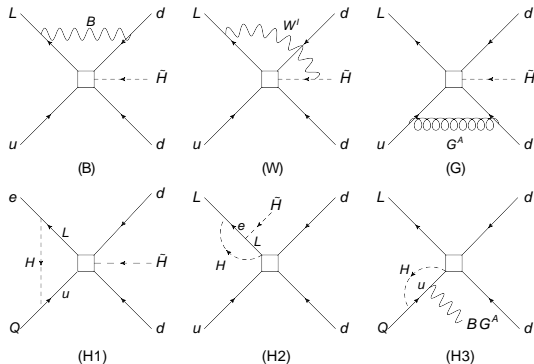
$$\dot{C}_i \equiv 16\pi^2 \mu \frac{dC_i}{d\mu} = \sum_{j=1}^6 \gamma_{ij} C_j \quad (39)$$

RGEs for 6 operators with $B = -L = 1$

dimensional regularization, MS

general $R_{\xi_{1,2,3}}$ gauge

representative graphs:



RGEs for 6 operators with $B = -L = 1$

We get

$$\begin{aligned}
 \dot{C}_1^{prst} &= + \left(-4g_3^2 - \frac{9}{4}g_2^2 - \frac{17}{12}g_1^2 + W_H \right) C_1^{prst} - \frac{10}{3}g_1^2 C_1^{ptsr} - \frac{3}{2}(Y_e Y_e^\dagger)_{pv} C_1^{vrst} \\
 &+ 3(Y_d^\dagger Y_d)_{vr} C_1^{pvst} + 3(Y_d^\dagger Y_d)_{vt} C_1^{prsv} + 2(Y_u^\dagger Y_u)_{vs} C_1^{prvt} - 2(Y_d^\dagger Y_u)_{vs} (C_2^{pvrt} + v \leftrightarrow r) \\
 &+ 4(Y_e)_{pv}(Y_u)_{ws} C_3^{vwrt} - 2((Y_u)_{vs}(Y_d)_{wt} + s \leftrightarrow t) C_4^{rvw} - \frac{1}{6}(11g_1^2 + 24g_3^2)(Y_u)_{vs} C_5^{pvrt} \\
 &+ \frac{1}{6}(13g_1^2 + 48g_3^2)(Y_u)_{vs} C_5^{pvtr} - \frac{3}{2}(Y_d)_{vt}(Y_d^\dagger Y_u)_{ws} C_5^{pvrv} \\
 &- 3(Y_u)_{vs}((Y_d^\dagger Y_d)_{wt} C_5^{pvrv} - r \leftrightarrow t) + \frac{3}{2}(Y_e)_{pv}(Y_d^\dagger Y_u)_{ws} C_6^{vrtw}, \\
 \dot{C}_2^{prst} &= + \left(-4g_3^2 - \frac{9}{4}g_2^2 - \frac{13}{12}g_1^2 + W_H \right) C_2^{prst} + \frac{5}{2}(Y_e Y_e^\dagger)_{pv} C_2^{vrst} \\
 &+ 2((Y_d^\dagger Y_d)_{vr} C_2^{pvst} + (Y_d^\dagger Y_d)_{vs} C_2^{prvt} + (Y_d^\dagger Y_d)_{vt} C_2^{prsv}) \\
 &- \frac{1}{4}[(Y_u^\dagger Y_d)_{vs} C_1^{prvt} + (Y_u^\dagger Y_d)_{vr} C_1^{psvt} + (Y_u^\dagger Y_d)_{vs} C_1^{ptvr}] - s \leftrightarrow t \\
 &+ \left\{ \left[\left(\frac{1}{3}(g_1^2 - 6g_3^2)(Y_d)_{vr} C_5^{pvst} - \frac{1}{4}g_1^2(Y_d)_{vs} C_5^{pvrt} - \frac{3}{4}(Y_d)_{vr}(Y_d^\dagger Y_d)_{wt} C_5^{pvsw} \right) + r \leftrightarrow t \right] - s \leftrightarrow t \right\} \\
 &+ \frac{1}{2}(Y_e)_{pv} \left\{ \left[g_1^2 (C_6^{vrst} + r \leftrightarrow s) + \frac{3}{4}((Y_d^\dagger Y_d)_{wt} (C_6^{vrs} + r \leftrightarrow s) + (Y_d^\dagger Y_d)_{wr} C_6^{vts}) \right] - s \leftrightarrow t \right\},
 \end{aligned}$$

RGEs for 6 operators with $B = -L = 1$

$$\begin{aligned}
 \dot{C}_3^{prst} &= +\left(-4g_3^2 - \frac{9}{4}g_2^2 + \frac{11}{12}g_1^2 + W_H\right)C_3^{prst} \\
 &+ \left[\left((Y_e^\dagger Y_e)_{pv} C_3^{vrst} + \frac{5}{4}(Y_u Y_u^\dagger + Y_d Y_d^\dagger)_{vr} C_3^{pvst} + 3(Y_d^\dagger Y_d)_{vs} C_3^{prvt} - (Y_d^\dagger)_{wr} (Y_d)_{vs} C_3^{pvwt} \right) - s \leftrightarrow t \right] \\
 &- \frac{1}{2}(Y_e^\dagger)_{pv} \left[\left((Y_u^\dagger)_{wr} C_4^{vtws} + 2(Y_d)_{ws} C_4^{vtwr} + (Y_d)_{wt} C_4^{vsrw} + 3g_1^2 C_5^{vrst} + 3(Y_d^\dagger Y_d)_{wt} C_5^{vrsw} \right) - s \leftrightarrow t \right] \\
 &+ \frac{1}{4}(g_1^2 + 12g_3^2)(Y_d^\dagger)_{vr} \left[\left(C_6^{pvst} + C_6^{psvt} + C_6^{pstv} \right) - s \leftrightarrow t \right] \\
 &- \frac{3}{4} \left\{ \left[(Y_d^\dagger Y_d)_{vs} (Y_d^\dagger)_{wr} \left(C_6^{ptvw} - r \leftrightarrow v \right) + (Y_d^\dagger Y_d)_{ws} (Y_d^\dagger)_{vr} \left(C_6^{ptvw} + 2C_6^{pvtw} \right) \right] - s \leftrightarrow t \right\}, \\
 \dot{C}_4^{prst} &= +\left(-4g_3^2 - \frac{15}{4}g_2^2 - \frac{19}{12}g_1^2 + W_H\right)C_4^{prst} - 3g_2^2 C_4^{prts} + 3(Y_d^\dagger Y_d)_{vr} C_4^{pvst} \\
 &- \frac{1}{2}(Y_e Y_e^\dagger)_{pv} \left(4C_4^{vrts} - C_4^{vrst} \right) + \left(2(Y_u Y_u^\dagger)_{vt} - (Y_d Y_d^\dagger)_{vt} \right) C_4^{prsv} \\
 &+ \frac{1}{2} \left(5(Y_u Y_u^\dagger)_{vs} + (Y_d Y_d^\dagger)_{vs} \right) C_4^{prvt} + \frac{1}{2} \left(5(Y_d Y_d^\dagger)_{vt} - 3(Y_u Y_u^\dagger)_{vt} \right) C_4^{prsv} \\
 &- (Y_d)_{wr} \left((Y_u^\dagger)_{vs} C_4^{pvwt} + (Y_d^\dagger)_{vt} C_4^{pvsw} \right) - \left((Y_u^\dagger)_{vs} (Y_d^\dagger)_{wt} \left(2C_1^{prvw} + C_1^{pvwr} \right) + s \leftrightarrow t \right) \\
 &- 2(Y_e)_{pv} (Y_d^\dagger)_{ws} C_3^{vtwr} - \frac{1}{6}(g_1^2 - 24g_3^2)(Y_d^\dagger)_{vt} \left(C_5^{psvr} + r \leftrightarrow v \right) - \frac{3}{2}(Y_e Y_e^\dagger)_{pv} (Y_d^\dagger)_{ws} C_5^{vtwr} \\
 &+ \frac{3}{2}(Y_d^\dagger Y_d)_{vr} (Y_d^\dagger)_{wt} \left(C_5^{psvw} + v \leftrightarrow w \right) + \frac{3}{2} \left((Y_u Y_u^\dagger)_{vs} (Y_d^\dagger)_{wt} + s \leftrightarrow t \right) C_5^{pvwr} \\
 &+ \frac{3}{2}(Y_e)_{pv} (Y_d^\dagger)_{ws} (Y_d^\dagger)_{xt} \left(C_6^{vxrw} + C_6^{vrwx} + C_6^{vrwx} \right),
 \end{aligned}$$

RGEs for 6 operators with $B = -L = 1$

$$\begin{aligned}
 \dot{C}_5^{prst} &= +\left(\frac{5}{9}g_1^2 - \frac{4}{3}g_3^2\right)C_5^{prst} - \left(\frac{1}{9}g_1^2 - \frac{8}{3}g_3^2\right)C_5^{prts} + \frac{1}{2}(Y_e Y_e^\dagger)_{pv}C_5^{vrst} + \frac{1}{2}(Y_u Y_u^\dagger + Y_d Y_d^\dagger)_{vr}C_5^{pvst} \\
 &+ (Y_d^\dagger Y_d)_{vs}C_5^{prvt} + (Y_d^\dagger Y_d)_{vt}C_5^{prsv} - (Y_d^\dagger)_{wr} \left((Y_d)_{vs}C_5^{pvwt} + (Y_d)_{vt}C_5^{pvsw} \right) \\
 &- (Y_e)_{pv}(Y_d^\dagger)_{wr} \left(C_6^{vwst} + C_6^{vswt} + C_6^{vstw} \right), \\
 \dot{C}_6^{prst} &= -\left(\frac{4}{27}g_1^2 + \frac{8}{3}g_3^2\right)C_6^{prst} - \left(\frac{2}{9}g_1^2 - \frac{4}{3}g_3^2\right) \left(C_6^{prts} + C_6^{psrt} + C_6^{pstr} + C_6^{ptrs} + C_6^{ptr} \right) \\
 &+ (Y_e^\dagger Y_e)_{pv}C_6^{vrst} + (Y_d^\dagger Y_d)_{vr}C_6^{pvst} + (Y_d^\dagger Y_d)_{vs}C_6^{prvt} + (Y_d^\dagger Y_d)_{vt}C_6^{prsv} - 2(Y_e^\dagger)_{pv}(Y_d)_{wr}C_5^{vwst}
 \end{aligned}$$

where

$$W_H = \text{Tr}(3Y_u^\dagger Y_u + 3Y_d^\dagger Y_d + Y_e^\dagger Y_e)$$

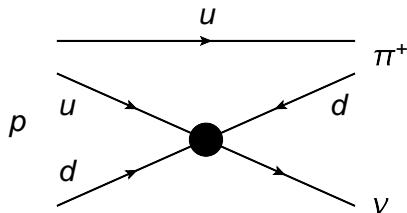
Phenomenological implications: an illustration

$B = -L = 1$: rare nucleon decays, e.g., $p \rightarrow \nu \pi^+$ (νK^+ , $e^- \pi^+ \pi^+$), $n \rightarrow e^- \pi^+$, ...

Not attempt a complete analysis, which requires a sequence of EFT from electroweak scale to nucleon mass scale.

But illustrate potential impact of RGE.

Low energy: $H \rightarrow \nu/\sqrt{2}$, $D \rightarrow 0$, only $\mathcal{O}_{Ldud\bar{H}}^{p111}$, $\mathcal{O}_{LdQQ\bar{H}}^{p111}$ relevant.



Phenomenological implications: an illustration

Ignore quark mixing, drop all Yukawa couplings except for the top.

RGEs are decoupled ($\alpha_i = g_i^2/(4\pi)$ ($i = 1, 2, 3$), $\alpha_t = Y_t^2/(4\pi)$):

$$\mu \frac{d}{d\mu} C_{Ldud\tilde{H}}^{p111} = \frac{1}{4\pi} \left(-4\alpha_3 - \frac{9}{4}\alpha_2 - \frac{57}{12}\alpha_1 + 3\alpha_t \right) C_{Ldud\tilde{H}}^{p111} \quad (40)$$

$$\mu \frac{d}{d\mu} C_{LdQQ\tilde{H}}^{p111} = \frac{1}{4\pi} \left(-4\alpha_3 - \frac{27}{4}\alpha_2 - \frac{19}{12}\alpha_1 + 3\alpha_t \right) C_{LdQQ\tilde{H}}^{p111} \quad (41)$$

From $M \sim 10^{15}$ GeV(GUT) to $\mu \sim m_p \sim 1$ GeV:

$$C_{Ldud\tilde{H}}^{p111}(m_p) = \left[\frac{\alpha_3(m_p)}{\alpha_3(M)} \right]^{2/\beta_3} \left[\frac{\alpha_2(M_Z)}{\alpha_2(M)} \right]^{9/(8\beta_2)} \left[\frac{\alpha_1(M_Z)}{\alpha_1(M)} \right]^{57/(24\beta_1)} (0.787) C_{Ldud\tilde{H}}^{p111}(M) \quad (42)$$

$$C_{LdQQ\tilde{H}}^{p111}(m_p) = \left[\frac{\alpha_3(m_p)}{\alpha_3(M)} \right]^{2/\beta_3} \left[\frac{\alpha_2(M_Z)}{\alpha_2(M)} \right]^{27/(8\beta_2)} \left[\frac{\alpha_1(M_Z)}{\alpha_1(M)} \right]^{19/(24\beta_1)} (0.787) C_{LdQQ\tilde{H}}^{p111}(M) \quad (43)$$

Phenomenological implications: an illustration

$$\beta_3 = 7, \quad \beta_2 = \frac{19}{6}, \quad \beta_1 = -\frac{41}{10}$$

$$\alpha_1(M_Z) = 0.0169225 \pm 0.0000039, \quad \alpha_2(M_Z) = 0.033735 \pm 0.000020,$$

$$\alpha_3(M_Z) = 0.1173 \pm 0.00069, \quad \alpha_t(M_Z) = 0.07514$$

⇒

$$C_{Ldud\tilde{H}}^{p111}(m_p) = (2.034)(1.158)(1.262)(0.787) C_{Ldud\tilde{H}}^{p111}(M) = 2.34 C_{Ldud\tilde{H}}^{p111}(M) \quad (44)$$

$$C_{LdQQ\tilde{H}}^{p111}(m_p) = (2.034)(1.551)(1.081)(0.787) C_{LdQQ\tilde{H}}^{p111}(M) = 2.68 C_{LdQQ\tilde{H}}^{p111}(M) \quad (45)$$

Outline

- 1 Lecture 5: SMEFT – Dimension-five and -seven Operators
- 2 Dim-5 operator
- 3 Dim-7 operators
- 4 Hilbert series: a powerful tool for counting operators**

Hilbert series

skipped for limited time.

All references can be traced back from latest work by Murayama's group:

B. Henning, et al, Operator bases, S -matrices, and their partition functions, arXiv:1706.08520

B. Henning, et al, 2, 84, 30, 993, ...: Higher dimensional operators in SMEFT, arXiv:1512.03433